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By

Evelyn Gomez  
Evelyn Gomez, QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

Attorney Docket No. 36-001720US  
Client Reference No.: P0046US20

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kavita Shah, et al.

Application No.: 10/660,113

Filed: September 10, 2003

For: HIGHLY SPECIFIC MODULATORS  
OF GTPASES FOR TARGET VALIDATION

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Examiner: Unassigned

Art Unit: 1645

SUBMISSION OF POWER OF  
ATTORNEY AND CORRESPONDENCE  
ADDRESS INDICATION FORM

Sir:

Please make of record the following enclosed documents regarding the above-identified application:

- 1) Power of Attorney and Correspondence Address Indication Form;
- 2) Statement Under 37 CFR 3.73(b).

QUINE INTELLECTUAL PROPERTY LAW GROUP  
P.O. BOX 458, Alameda, CA 94501  
Tel: 510 337-7871  
Fax: 510 337-7877  
PTO Customer No.: 22798  
Deposit Account No.: 50-0893

Respectfully submitted,

Angela P. Home, Ph.D.

Angela P. Home, Ph.D.  
Reg. No: 41,079

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<h1>TRANSMITTAL FORM</h1> <p><i>(to be used for all correspondence after initial filing)</i></p>	Application Number	10/660,113
	Filing Date	September 10, 2003
	First Named Inventor	Kavita Shah
	Group Art Unit	1645
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	36-001720US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Statement Under 37 CFR 3.73(b) and receipt acknowledgment postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<b>Authorization to Charge Deposit Account</b> Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Angela P. Horne, Ph.D., Reg. No. 41,079, Quine Intellectual Property Law Group, P.C.
Signature	<i>Angela P. Horne, Ph.D.</i>
Date	<i>May 20, 2004</i>

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Typed or printed name	Evelyn Gomez	
Signature	<i>Evelyn Gomez</i>	Date <i>May 20, 2004</i>

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant: Kavita Shah, et al.

Application No.: 10/660,113

Filed: September 10, 2003

Entitled: **HIGHLY SPECIFIC MODULATORS OF GTPASES FOR TARGET  
VALIDATION**

IRM, LLC

, a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. [ X ] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

OR

B. [ ] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

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The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.
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[ ] Additional documents in the chain of title are listed on a supplemental sheet

[X] Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

5/13/04

Date

Timothy L. Smith

Signature  
Timothy L. Smith, J.D., Ph.D.  
Vice President, Business & Legal Affairs

Typed or printed name

Title

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant: **Kavita Shah, et al.**

Application No.: **10/660,113** Filed: **September 10, 2003**

Entitled: **HIGHLY SPECIFIC MODULATORS OF GTPASES FOR TARGET  
VALIDATION**

**Novartis AG**, a **corporation**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

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The document was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

May 19, 2004

Date

  
Signature

Arthur Canonica / Christoph Heid

Typed or printed name

CIP-Administrators

Title

# **POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM**

<b>Application Number</b>	10/660,113
<b>Filing Date</b>	September 10, 2003
<b>First Named Inventor</b>	Kavita Shah
<b>Title</b>	HIGHLY SPECIFIC MODULATORS OF
<b>Art Unit</b>	1645
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	36-001720US

I hereby appoint:

☒ Practitioners associated with the Customer Number:

OR

☒ Practitioner(s) named below:
**22798**

PATENT TRADEMARK OFFICE

Name	Registration Number
Timothy L. Smith, Ph.D.	35,367
Hugh Wang, Ph.D.	47,163
Scott Reid, Ph.D.	48,097

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☒ Firm or Individual Name Quine Intellectual Property Law Group, P.C.

Address P.O. Box 458

Address

City Alameda

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Telephone (510) 337-7871

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## **SIGNATURE of Applicant or Assignee of Record**

Name	Timothy L. Smith		
Signature	<i>Timothy L. Smith</i>		
Date	5/13/04	Telephone	858-812-1547

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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Typed or Printed Name

Evelyn Gomez

Signature

*Evelyn Gomez*

Date

May 20, 2004

**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	10/660,113
Filing Date	September 10, 2003
First Named Inventor	Kavita Shah
Title	HIGHLY SPECIFIC MODULATORS OF
Art Unit	1645
Examiner Name	Unassigned
Attorney Docket Number	36-001720US

I hereby appoint:

☒ Practitioners associated with the Customer Number:

OR

☒ Practitioner(s) named below:



**22798**

PATENT TRADEMARK OFFICE

Name	Registration Number

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OR

<input checked="" type="checkbox"/> Firm or Individual Name	Quine Intellectual Property Law Group, P.C.				
Address	P.O. Box 458				
Address					
City	Alameda	State	CA	Zip	94501
Country	United States				
Telephone	(510) 337-7871	Fax	(510) 337-7877		

I am the:

☐ Applicant/Inventor:

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name	Arthur Canonica	Christoph Heid
Signature	<i>Arthur Canonica</i>	<i>Christoph Heid</i>
Date	May 6, 2004	
Telephone		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required; Submit multiple forms if more than one signature is required, see below.

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Typed or Printed Name	Evelyn Gomez
Signature	<i>Evelyn Gomez</i>
Date	May 20, 2004